

## Ouvrir votre compte de plan d'options sur titres Charles Schwab (Procédé en ligne, environ 5 minutes)

Grâce à Charles Schwab, vous pouvez ouvrir et organiser votre compte en ligne, en suivant les instructions ci-dessous. Veuillez contacter l'un des représentants Services Participant pour lui poser les questions que vous désirez au **1-800-654-2593**. Tous les représentants peuvent vous aider pour ce qui est de vos indemnités de fonds propres et investissements. Les clients internationaux peuvent accéder à ce numéro gratuit en composant d'abord un code direct AT&T, disponible sur : <http://www.business.att.com/bt/tollfree.jsp>.

Pour ouvrir votre compte de plan d'options sur titres Schwab, il suffit de vous connecter à Internet et de visiter : <http://scs.schwab.com/amazon>. Vous devrez ouvrir un compte de courtage pour vos stocks options et autres indemnités de fonds propres. En cliquant sur le lien ci-dessous (entouré), le procédé d'ouverture de compte en ligne commencera, lequel est détaillé sur les pages suivantes. Une fois que vous avez commencé ce procédé d'ouverture de compte, vous ne pourrez pas le sauvegarder et recommencer plus tard ; il est donc nécessaire que vous ayez le temps suffisant pour le finir une fois commencé.

charles SCHWAB English | Deutsch | Français | 中文 | 日本

Already Have An Account?  
[Login to Equity Award Center](#)

Getting Started | Moving Assets | Customer Service | Fees & Commissions | FAQs | Other Schwab Financial Services

**Welcome Amazon.com Associates**

**Getting Started**

If you are new to Schwab, you will need to open a brokerage account to trade your restricted stock units (RSUs) or stock options. As an existing Schwab client, you may be able to use your current brokerage account to transact your RSUs or stock options.

**Make your selection:**

- [I'm New to Charles Schwab](#)
- [I'm an Existing Schwab Customer](#)

**New Clients**

Online account application

**Online Account Open Guide**

You may download the online account opening guide for [Domestic/US participants](#) or [International participants \(English version\)](#). Foreign language versions of this document are available in the respective sections of this site.

You may open a [Schwab account online](#) to gain instant access to your restricted stock units (RSUs) or stock options and all the features of Schwab Equity Award Center and schwab.com. During this short process you will be required to [verify your identity, please have your six-digit Amazon.com ID ready before you begin.](#) [Click here to begin](#).

This process is available for both US and international employees; you will automatically be guided through the appropriate steps.

**Paper-based account application**

**Amazon.com Associates:**  
[Click here for special offers](#)

Please feel free to contact one of our Participant Services Representatives with any questions you may have at **1-800-654-2593**. All representatives are licensed and capable of helping you with all of your equity compensation and investment needs. (International clients may access this toll free number by first dialing an [AT&T Direct Dialing Code](#)).

1 Day | 5 Day | 6 Mo | 1 Yr | 3 Yr | 5 Yr

▼ DJIA	10,467.40	-31.19	-0.3%
▲ NASDAQ	2,047.15	1.06	0.1%
▲ S&P 500	1,174.55	0.48	0.0%
▲ Schwab 1000	3,786.12	1.29	0.0%

RE OF 13:00 PM ET 1/27/2008

**REMARQUE IMPORTANTE:** Pour compléter le procédé d'ouverture de compte en ligne, vous aurez besoin de votre numéro d'identification employé, l'adresse de votre domicile (l'adresse enregistrée par votre société), et votre numéro de sécurité sociale ou votre numéro d'identification fiscale.

Si vous êtes un utilisateur Schwab existant avec un compte éligible\*, vous devrez suivre le lien "Je suis un utilisateur Schwab existant".

\*Types de Comptes Éligibles : Les comptes Individuel Schwab One ou de courtage doivent être utilisés pour faire valoir les stock options de vos employés. Les types de comptes ne pourront être utilisés pour faire valoir les stocks options de vos employés, mais ne sont pas limités aux plans d'épargne retraite individuels (PER) ou personnels, sociétés à responsabilité limitée (SRL), Custodial, Corporate ou 401 (k). Si vous n'êtes pas sûr de l'admissibilité de votre compte, contactez un représentant Schwab au 1-800-654-2593.

Validation symbole

Entrer dans le symbole d'échange  
Amazon, AMZN, puis cliquer  
sur "Continuer"

*charles* SCHWAB  
CORPORATE SERVICES

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**Instant Access Eligibility**

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To determine your eligibility for instant access to Schwab's Equity Award Center™, please enter the following information to enable us to determine the appropriate account type for you. You will then be guided through a short process.

If you already have a Schwab Account, you may call Stock Plan Services at 1-800-654-2593 for instructions on how to access Equity Award Center. Representatives are available Monday through Friday, 9:00 am to 9:00 pm Eastern Time.

Please enter the trading symbol of your company:

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## ÉTAPE 1—Pays de résidence

### Informations personnelles

Indiquer votre pays de résidence, votre pays de citoyenneté et, si besoin, un pays de seconde citoyenneté.

This is a [Secure Application](#)

### Schwab Equity Award Center Application

Application Steps	Step 1: Country of Residence
<ol style="list-style-type: none"> <li>1. Country of Residence</li> <li>2. Participant Data</li> <li>3. Personal Profile</li> <li>4. Account Features</li> <li>5. Account Verification</li> </ol>	<p>*What is your country of residence?  <input type="text" value="MEXICO"/></p> <p>*What is your primary country of citizenship?  <input type="text" value="MEXICO"/></p> <p>What is your secondary country of citizenship?  <input type="text" value="None"/></p> <p><small>* Required fields</small></p> <p style="text-align: right;"> <input type="button" value="Cancel"/> <input type="button" value="Continue"/> </p>

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Compliance number (0005-7125)

## ÉTAPE 2—Données du participant

### Identité du participant

Les données suivantes sont équivalentes aux registres de votre société, et ceci à des fins de validation.

#### Employés internationaux

- Prénom
- Nom de famille
- Numéro d'identification fiscale ou Numéro de Sécurité Sociale (si besoin)
- Identification employé Amazon\*
- Ville

**\*Remarque :** Si votre identification employé a seulement 5 chiffres, vous devrez placer un zéro au début de celui-ci, dans le champ "Identification Employé".

Si votre identification employé a seulement 4 chiffres, vous devrez placer deux zéros au début de celui-ci, dans le champ "Identification Employé".

### Schwab Equity Award Center Application

Application Steps	Step 2: Participant Data	
<ol style="list-style-type: none"> <li>1. Country of Residence</li> <li>2. Participant Data                             <ul style="list-style-type: none"> <li>- Participant Identity</li> <li>- Account Usage</li> </ul> </li> <li>3. Personal Profile</li> <li>4. Account Features</li> <li>5. Participant Data</li> </ol>	<p>Company trading Symbol    XYZ - XYZ Corporation</p> <p>First Name    <input type="text"/></p> <p>Last Name    <input type="text"/></p> <p>Social Security Number/TIN    <input type="text"/> - <input type="text"/> - <input type="text"/></p> <p>Employee ID    <input type="text"/></p> <p>City    <input type="text"/></p> <p style="text-align: right;"> <input type="button" value="Cancel"/> <input type="button" value="Continue"/> </p>	<p><b>Privacy Policy</b></p> <p>We respect your privacy. Schwab will use the information you provide to verify your identity, and may later on use the information you submit to notify you about products and services that you may find useful. Please read our <a href="#">privacy policy</a> about online security and our Schwab Security Guarantee. Our policy is always available for your review on the home page of our web site.</p>

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This is a [Secure Application](#)

ÉTAPE 3—Profil personnel

Information titulaire du compte

Veillez indiquer ces informations pour créer votre profil personnel.

Une fois fini, cliquer sur "Continuer."

### Schwab Equity Award Center Application

<p><b>Application Steps</b></p> <ol style="list-style-type: none"> <li>1. Country of Residence</li> <li>2. Participant Data</li> <li>3. Personal Profile                     <ul style="list-style-type: none"> <li>- Account Holder Information</li> <li>- Employment Information</li> <li>- Investment Profile</li> <li>- Certification</li> </ul> </li> <li>4. Account Features</li> <li>5. Participant Data</li> </ol>	<p><b>Step 3: Personal Profile</b></p> <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">First Name</td> <td style="width: 33%;">Middle Name</td> <td style="width: 33%;">Last Name</td> </tr> <tr> <td>MICHAEL</td> <td></td> <td>SMITH</td> </tr> </table> <p>If you are known by any other name, please enter it here. Otherwise, please skip.</p> <input style="width: 90%;" type="text"/> ⓘ	First Name	Middle Name	Last Name	MICHAEL		SMITH
First Name	Middle Name	Last Name					
MICHAEL		SMITH					

 **Privacy Policy**  We respect your privacy. Schwab will use the information you provide to verify your identity, and may later on use the information you submit to notify you about products and services that you may find useful. Please read our [privacy policy](#) about [online security](#) and our [Schwab Security Guarantee](#). Our policy is always available for your review on the home page of our web site.  This is a [Secure Application](#) ||  | **Home Phone Number** |

ÉTAPE 3—Profil personnel

Informations emploi

Veillez indiquer ces informations quant à votre emploi, puis répondre aux questions en bas de l'écran.

Une fois fini, cliquer sur "Continuer."

**Schwab Equity Award Center Application**

<p><b>Application Steps</b></p> <ol style="list-style-type: none"> <li>1. Country of Residence</li> <li>2. Participant Data</li> <li>3. Personal Profile                             <ul style="list-style-type: none"> <li>- Account Holder Information</li> <li>- <b>Employment Information</b></li> <li>- Investment Profile</li> <li>- Certification</li> </ul> </li> <li>4. Account Features</li> <li>5. Participant Data</li> </ol>	<p><b>Step 3: Personal Profile: Employment</b></p> <hr/> <p><b>Employment Status</b></p> <p>SELECT <input type="button" value="v"/></p> <p><b>Occupation</b> <span style="float: right;"><b>Employer (or industry)</b></span></p> <p><input style="width: 80px;" type="text"/> <input style="width: 80px;" type="text"/></p> <p>Are you a Director, 10% Shareholder, or Policy-making Officer of any Publicly Traded Companies? ⓘ</p> <p><input type="radio"/> No <input type="radio"/> Yes</p> <p>Are you an Employee, Director, or Owner of any Securities Firm(s)? ⓘ</p> <p><input type="radio"/> No <input type="radio"/> Yes</p> <p>Are you a Professional Subscriber? ⓘ</p> <p><input type="radio"/> No <input type="radio"/> Yes</p> <hr style="border: 2px solid black;"/> <p style="text-align: center;"> <input type="button" value="Back"/> <input type="button" value="Continue"/> </p>	<p>Securities industry regulations require that we ask these questions.</p> <p>This is a <a href="#">Secure Application</a></p>
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Compliance number (0005-7125)

ÉTAPE 3—Profil personnel

Profil Investissement

Veillez indiquer ces informations pour créer votre profil investissement. N'oubliez pas de répondre aux questions réglementaires en bas de l'écran.

Une fois fini, cliquer sur "Continuer."

**Schwab Equity Award Center Application**

**Application Steps**

1. Country of Residence
2. Participant Data
3. Personal Profile
  - Account Holder Information
  - Employment Information
  - **Investment Profile**
  - Certification
4. Account Features
5. Participant Data

**Step 3: Account Holder Information (cont'd)**

**Family Information**

Marital Status:       Number of Dependents:

**Investment Profile**

Annual Income:       Investment Experience:

Liquid Net Worth:

Overall investment objective for this account:

**Additional Regulatory Questions**

Are you, or are any of your immediate family members, a current or former senior official in the executive, legislative, administrative, military, or judicial branch of any non-U.S. government; a senior official of a major non-U.S. political party; or a senior executive of an enterprise owned by a non-U.S. government; or do you maintain a close personal or professional relationship with such an individual?

No

Yes (if yes, complete the section below.)

Name of Official:       Officials Title and Country:

Your Relationship:

Self

Relative

Other (please describe):

Securities industry regulations require that we ask these questions.

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ÉTAPE 3—Profil personnel

Certification

Veillez remplir cet écran sur le certificat de statut étranger de propriétaire effectif d'acompte provisionnel au titre de l'impôt sur le revenu nord-américain (Formulaire de substitution W-8BEN).

Une fois fini, cliquer sur "Continuer."

This is a [Secure Application](#)

**Schwab Equity Award Center Application**

**Application Steps**

- Country of Residence
- Participant Data
- Personal Profile
  - Account Holder Information
  - Employment Information
  - Investment Profile
- Certification**
- Account Features
- Participant Data

**Substitute Form W-8BEN  
Certificate of Foreign Status of Beneficial Owner  
for United States Tax Withholding**

Department of the Treasury, Internal Revenue Service

- Section references are to the Internal Revenue Code.
- For complete instructions or more information on the W-8 forms, please refer to the Internal Revenue Service (IRS) website at [www.irs.gov](http://www.irs.gov).
- Give this form to the withholding agent or payer. Do not send to the IRS.

**Do not use this form for:**

- A U.S. citizen or other U.S. person, including a resident alien individual. **Instead, use Form W-9**
- A person claiming an exemption from U.S. withholding on income effectively connected with the conduct of a trade or business in the United States. **Instead, use Form W-8ECI**
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (See instructions for exceptions.) **Instead, use Form W-8ECI or W-8BMY**
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession that received effectively connected income or that is claiming the applicability of section(s) 115(Q), 501(c), 892, 895, or 1443(b). **Instead, use Form W-8ECI or W-8EXP**

Note: These entities should use Form W-8BEN if they are claiming treaty benefits or are providing the form only to claim they are a foreign person exempt from backup withholding.

- A person acting as an intermediary. **Instead, use Form W-8BMY**

Note: See IRS instructions for additional exceptions.

**1. Identification of Beneficial Owner** (See instructions.)

Important reminder: **Each** account holder must complete a separate W-8 form, unless instructions above apply.

Name of individual or organization that is the beneficial owner:

Country of incorporation or organization:

Type of beneficial owner:

Permanent Residence Address

Street Address Line 1:

Street Address Line 2:

Town/City:

Postcode:

Country:

Mailing Address (if different from above, if a U.S. address, see page 2)

Street Address Line 1:

Street Address Line 2:

Town/City:

Postcode:

Country:

Social Security Number (SSN) or Individual Taxpayer Identification Number (ITIN): (if required)

Employer Identification Number (EIN): (if required)

Foreign tax identifying number, if any (optional)

Reference number(s)

**2. Claim of Tax Treaty Benefits** (if applicable)

I certify that (Check all that apply)

A  The beneficial owner is a resident of  within the meaning of the income tax treaty between the United States and that country.

B  If required, the U.S. Taxpayer Identification Number is stated on line 6.

C  The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits.

D  The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits.

E  The beneficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation, and meets qualified resident status. (Please refer to IRS instructions.)

F  The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file Form 8832 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

**Special rates and conditions** (if applicable—see IRG instructions).

The beneficial owner is claiming the provisions of Article  of the treaty identified on line 9a above to claim  % rate of withholding on (Specify type of income):

Explain the reasons the beneficial owner meets the terms of the treaty article:

**3. Claim of Tax Treaty Benefits**

Under penalties of perjury, I declare that I have examined the information on this form, and to the best of my knowledge and belief it is true, correct and complete. I further certify under penalties of perjury that:

- I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates.
- The beneficial owner is not a U.S. person.
- The income to which this form relates is not effectively connected with the conduct of a trade or business in the United States or is effectively connected but is not subject to tax under an income tax treaty, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. person and, if applicable, obtain a reduced rate of withholding.

ÉTAPE 4—Caractéristiques du compte

Caractéristiques du compte

La caractéristique de la marge est optionnelle et n'est pas nécessaire pour l'exercice des stocks options de vos employés ou d'autres indemnités de fonds propres.

Si rien d'autre n'est indiqué, Schwab ajoutera la caractéristique à votre compte.

Une fois fini, cliquer sur "Continuer."

**Schwab Equity Award Center Application**

<p><b>Application Steps</b></p> <ol style="list-style-type: none"> <li>1. Country of Residence</li> <li>2. Participant Data</li> <li>3. Personal Profile</li> <li>4. Account Features</li> <li style="text-align: center;">- Account Features</li> <li>5. Participant Data</li> </ol>	<p><b>Step 4: Account Features</b></p> <p><input checked="" type="checkbox"/> <b>Margin Trading</b>                  To trade on margin, you borrow from Schwab, using all your Schwab brokerage assets as security for your loan. Read the <a href="#">Margin Risk Disclosure</a>.</p> <p><b>Earn Income on Your Uninvested Cash</b>                  Uninvested cash in your Account will earn interest in accordance with the Bank Sweep feature. <a href="#">Read more &gt;</a></p> <p style="text-align: center;"> <input type="button" value="Back"/> <input type="button" value="Continue"/> </p>	<p>Margin Trading is automatically enabled on Schwab One Accounts. If you do not wish to have margin trading, simply deselect the check box.</p> <p>You may select another eligible Cash Feature after your Account is opened by speaking with a Schwab representative.</p> <p>This is a <a href="#">Secure Application</a></p>
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ÉTAPE 5—Données du participant

Consentement

Veillez revoir les points 1 – 4 sur cet écran. Marquer la case "Consentement" si vous accusez réception des points 1 – 4.

Vous pouvez télécharger et imprimer les documents sur cet écran pour des références futures.

Une fois fini, cliquer sur "Continuer."

### Schwab Equity Award Center Application

<p><b>Application Steps</b></p> <ol style="list-style-type: none"> <li>1. Country of Residence</li> <li>2. Participant Data</li> <li>3. Personal Profile</li> <li>4. Account Features</li> <li>5. Participant Data</li> <li>- <b>Consent</b></li> <li>- Verify Account Information</li> </ol>	<p><b>Step 5: Consent</b></p> <p><b>By checking on the "I consent" box below, you signify:</b></p> <ol style="list-style-type: none"> <li>1. That you consent to receive and read in electronic format the following documents:                             <ul style="list-style-type: none"> <li>• <a href="#">Schwab's Privacy Policy</a></li> <li>• <a href="#">Application Agreement</a></li> <li>• <a href="#">Charles Schwab Pricing Guide</a></li> <li>• <a href="#">Schwab One Account Agreement</a></li> <li>• <a href="#">Amendment</a></li> <li>• <a href="#">Paperless Documents Informed Consent</a></li> <li>• <a href="#">Employee Stock Option Exercise and Equity Award Agreement Terms</a></li> <li>• <a href="#">Special Attention for U.S. Addresses</a></li> <li>• <a href="#">Supplemental Terms and Conditions</a></li> <li>• <a href="#">Electronic Services Agreement</a></li> <li>• <a href="#">MoneyLink Terms and Conditions</a></li> <li>• <a href="#">Margin Risk Disclosure</a></li> </ul> </li> <li>2. You have demonstrated and verified that you are able to view the above Agreements and Disclosures by clicking on each hypertext link.</li> <li>3. By clicking on the "I consent" box below, you are also acknowledging receipt of the pre-arbitration dispute provision contained in the Application Agreement, Section 15, page 2.</li> <li>4. You understand that by providing an electronic signature you will be entering into a legally binding agreement, the equivalent of a signed, written contract.</li> </ol> <p><b>If you are not able to view the electronic documents above or do not agree with the representations above, please exit this electronic transfer process by closing the window. This is a one-time consent for this electronic application process. Exiting will constitute the withdrawal of your consent. If you check the "I consent" box below, we will create an electronic record of your consent.</b></p> <p style="text-align: center;"><input type="checkbox"/> I Consent</p> <p style="text-align: center;"> <span style="border: 1px solid black; padding: 2px 10px; margin-right: 10px;">Back</span> <span style="border: 1px solid black; padding: 2px 10px;">Continue</span> </p>
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**Privacy Policy**

We respect your privacy. Schwab will use the information you provide to verify your identity, and may later on use the information you submit to notify you about products and services that you may find useful. Please read our [privacy policy](#) about [online security](#) and our [Schwab Security Guarantee](#). Our policy is always available for your review on the home page of our web site.

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ÉTAPE 5—Données du participant

Vérifier les informations du compte

Veillez vérifier les informations que vous avez fournies en remplissant le formulaire. Vous pouvez cliquer sur le lien "Modifier les informations" si vous devez effectuer des changements.

Si toutes les informations sont correctes, cliquer sur "Continuer" en bas de l'écran.

**Schwab Equity Award Center Application**

Application Steps	Step 5: Verify Account Information
<ul style="list-style-type: none"> <li>1. Country of Residence</li> <li>2. Participant Data</li> <li>3. Personal Profile</li> <li>4. Account Features</li> <li>5. Participant Data</li> <li>- Consent</li> <li>- <b>Verify Account Information</b></li> </ul>	<p style="text-align: right;"><a href="#">This is a Secure Application</a></p> <p><b>Please double check the information you have entered.</b></p> <p><b>Account Holder Information</b> <a href="#">Change Information</a></p> <p>Name: MICHAEL SMITH Alias:</p> <p>Home Address: 111 MAIN MEXICO CITY, 1234,MEXICO</p> <p>Home Phone: 043-123456789</p> <p>Business Phone: Email: michael@xyz.com</p> <p>Mailing Address: 111 MAIN MEXICO CITY, 1234 MEXICO</p> <p>Citizenship: MEXICO      Legal Residence: MEXICO</p> <p style="text-align: right;"><a href="#">Change Information</a></p> <p>Date of Birth: 12/14/1961 (MM/DD/YYYY) Passport/Govt ID: (MEXICO) 123456789</p> <p><b>Employment Information</b> <a href="#">Change Information</a></p> <p>Status: Employed Employer Name: XYZ Occupation: Management</p> <p>Companies which you are a Director, 10% Shareholder, or Policy-Making Officer: None Indicate if you are Employed by Securities Firm(s) or are a Director or Owner: NO Are you a professional subscriber? NO</p> <p><b>Family Information</b> <a href="#">Change Information</a></p> <p>Marital Status: Married      Dependents: 0</p> <p><b>Investment Profile</b> <a href="#">Change Information</a></p> <p>Annual Income: \$25,000 to \$49,999 Liquid Net Worth: \$50,000 to \$99,999 Experience: Good Objective: Income</p>

## Confirmation du nouveau compte

Cet écran vérifie que votre compte a été créé. Veuillez noter le numéro du nouveau compte, le numéro d'utilisateur, puis un mot de passe s'affichera sur cet écran. Vous pouvez noter ces informations ou imprimer l'écran en cliquant sur "Imprimer" et ainsi conserver ces données.

Vous pouvez aussi accéder rapidement au Equity Award Center depuis cet écran.

New Account Number: **12345678**

User Id: MSMITH

Password: XGJ7ED1M

2007.02.22 at 01:04:30 PM MST

Please [click here](#) to login to Equity Award Center

PRINT NOW

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