

## Schwab One® International Account Application Employee Stock Option Exercise and Equity Award Agreement

### 1. REQUIRED: Complete the following items to open a Schwab One Account.

#### **ACCOUNT APPLICATION**

##### **Section 1: Establish Your Individual Schwab One International Account**

- Be sure to provide your:
  - Country(ies) of citizenship
  - Country(ies) of residence
  - Address
  - Name
  - Date of birth
  - Telephone number
  - Employment information
- One of the following is required:
  - A current and valid U.S. SSN or Tax ID number
  - Passport number
  - Other Government issued ID number

##### **Section 4: Mandatory Regulatory Questions**

- Be sure to complete this section

##### **Section 6: Employee Stock Option Exercise and Equity Award Agreement**

- Provide your company's name and your Employee ID number
- Please provide responses to the two questions in this section

##### **Section 7: Schwab One Agreement Terms**

- Be sure to sign and date the application

### 2. REQUIRED: Complete the following items on Form W8-BEN.

#### **W8-BEN**

If you do not have a current and valid U.S. SSN or Tax ID number, please complete and submit Form W8-BEN.

##### **Part 1**

- Be sure to fill in the home telephone number, name (full name required), type of beneficial owner, and permanent residence fields – DO NOT abbreviate the country name

##### **Part 2**

- Complete section 9(a.) if you, as the beneficial owner, are a resident of a non-US country – the box must be checked and country of residence must be indicated in the space provided (DO NOT abbreviate the country name)
- Be sure to sign and date the form on page 2

### 3. REQUIRED: Mail the completed forms to Schwab at the following address.

Charles Schwab & Co., Inc.  
Attn: Stock Plan Services  
Mail Stop 03-410  
9601 East Panorama Circle  
Englewood, CO 80112 USA

Forms also can be faxed to 1-720-785-8888 but the originals must be mailed to Schwab.

### 4. Questions? Call Schwab at 800-654-2593.

For toll-free international dialing instructions, visit: <http://www.business.att.com/bt/tollfree.jsp?>